## SEC Form 4

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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL OMB Number: 3235-0287 Estimated average burder hours per response: 0.5

1	ress of Reporting	Person*	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>United Continental Holdings, Inc.</u> [UAL]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
KIRBY J SCOTT			<u>omed commental Holdings, mei</u> [ om ]		Director	10% Owner			
				- v	Officer (give title	Other (specify			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)		below)	below)			
	<b>、</b> ,	(Midule)	02/28/2018		President				
P. O. BOX 66100 HDQLD									
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable					
(Street)			4. In Amendment, Date of original Filed (Wohlin Day rear)	Line)		ng (encer Applicable			
CHICAGO	IL	60666		X	Form filed by One Re	porting Person			
					Form filed by More th	an One Reporting			
(City)	(State)	(Zip)			Person				
	(Siale)	(414)							

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

	•	,	•							
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr.4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	02/28/2018		M <sup>(1)(2)</sup>		12,224	Α	\$0.00	114,518	D	
Common Stock	02/28/2018		F <sup>(3)</sup>		5,416	D	\$67.79	109,102	D	
Common Stock	02/28/2018		F <sup>(4)</sup>		1,795	D	\$67.79	107,307	D	
Common Stock								5,000	Ι	See Footnote <sup>(5)</sup>
Common Stock								8,000	I	See Footnote <sup>(6)</sup>

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		n of		6. Date Exerc Expiration Da (Month/Day/N	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(7)	02/28/2018		<b>M</b> <sup>(1)</sup>			12,224	(2)	(2)	Common Stock	12,224	\$0.00	24,450	D	

### Explanation of Responses:

1. Represents the settlement upon vesting of restricted stock units ("RSUs") into UAL common stock.

 $\ \ 2. \ \ The RSUs were granted on February \ \ 23, \ \ 2017 \ \ and \ \ vest \ in \ \ 1/3 \ \ annual \ installments \ on \ \ February \ \ 28, \ \ 2018, \ \ 2019 \ \ and \ \ 2020.$ 

3. This transaction represents the withholding of shares to pay tax withholding obligations associated with the vesting of the RSU award granted on February 23, 2017.

4. This transaction represents the withholding of shares to pay tax withholding obligations associated with the vesting of the restricted stock award granted on August 29, 2016. This award vests in 1/3 annual installments on February 28, 2017, 2018 and 2019.

5. Represents shares held in a trust for the benefit of Mr. Kirby's children and other relatives in which Mr. Kirby serves as the trustee. The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, and the inclusion of these shares in this report is not an admission that the reporting person is the beneficial owner of all of the reported shares for purposes of Section 16 or for any other purpose.

6. Represents shares held in a trust for the benefit of Mr. Kirby's children in which Mr. Kirby's brother serves as the trustee. The reporting person disclaims beneficial ownership of these securities, and the filing of this report is not an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

7. Each RSU represents the economic equivalent of one share of UAL common stock and is settled in shares of UAL common stock upon vesting.

Remarks:

<u>/s/ Sarah Hagy for J. Scott</u> <u>Kirby</u>

03/02/2018

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.